

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.		FILING DATE		FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/670,857		09/25/2003		Sameer S. Marathe	03-106	2330
719	75	7590 01/13/2009			EXAMINER	
Caterpillar Inc. Intellectual Property Dept.						
AH 9510	i Proj	вену Бері.	ART UNIT	PAPER NUMBER		
100 N.E. Adams Street PEORIA, IL 61629-9510					DATE MAILED: 01/13/200	9

Please find below and/or attached an Office communication concerning this application or proceeding.

Application No. Applicant(s) Notification of Non-Compliant Appeal Brief MARATHE, SAMEER S. 10/670.857 (37 CFR 41.37) Examiner Art Unit Christopher P. Schwartz 3657 --The MAILING DATE of this communication appears on the cover sheet with the correspondence address--The Appeal Brief filed on 18 June 2007 is defective for failure to comply with one or more provisions of 37 CFR 41.37. To avoid dismissal of the appeal, applicant must file anamended brief or other appropriate correction (see MPEP 1205.03) within **ONE MONTH or THIRTY DAYS** from the mailing date of this Notification, whichever is longer. EXTENSIONS OF THIS TIME PERIOD MAY BE GRANTED UNDER 37 CFR 1.136. 1. □ The brief does not contain the items required under 37 CFR 41.37(c), or the items are not under the proper heading or in the proper order. 2. The brief does not contain a statement of the status of all claims, (e.g., rejected, allowed, withdrawn, objected to, canceled), or does not identify the appealed claims (37 CFR 41.37(c)(1)(iii)). At least one amendment has been filed subsequent to the final rejection, and the brief does not contain a statement of the status of each such amendment (37 CFR 41.37(c)(1)(iv)). 4. □ (a) The brief does not contain a concise explanation of the subject matter defined in each of the independent claims involved in the appeal, referring to the specification by page and line number and to the drawings, if any, by reference characters; and/or (b) the brief fails to: (1) identify, for each independent claim involved in the appeal and for each dependent claim argued separately, every means plus function and step plus function under 35 U.S.C. 112, sixth paragraph, and/or (2) set forth the structure, material, or acts described in the specification as corresponding to each claimed function with reference to the specification by page and line number, and to the drawings, if any, by reference characters (37 CFR 41.37(c)(1)(v)). The brief does not contain a concise statement of each ground of rejection presented for review (37 CFR 41.37(c)(1)(vi)) The brief does not present an argument under a separate heading for each ground of rejection on appeal (37 CFR 41.37(c)(1)(vii)). 7. \square The brief does not contain a correct copy of the appealed claims as an appendix thereto (37 CFR 41.37(c)(1)(viii)). The brief does not contain copies of the evidence submitted under 37 CFR 1.130, 1.131, or 1.132 or of any other evidence entered by the examiner and relied upon by appellant in the appeal, along with a statement setting forth where in the record that evidence was entered by the examiner, as an appendix thereto (37 CFR 41.37(c)(1)(ix)). The brief does not contain copies of the decisions rendered by a court or the Board in the proceeding identified in the Related Appeals and Interferences section of the brief as an appendix thereto (37 CFR 41.37(c)(1)(x)). Other (including any explanation in support of the above items): As stated in the Remand from the Board of Appeals received December 17, 2008 the Brief does not address the issue of claims 19,21,22. Although the claims were not (specifically) addressed in the Final Rejection they were addressed in

the Final Rejection of October 19, 2004 and the Non-Final rejection of July 7, 2005-- although they were left out of the Rejection statement. Note that claim 20 depends from claim 19, claim 20 being addressed .

> /Christopher P. Schwartz/ Primary Examiner, Art Unit 3657